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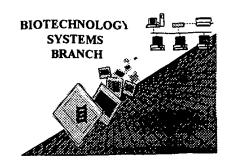
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## RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:	09/262,126A	
Source:	1652	
Date Processed by STIC:	2/5/2001	<del></del>

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

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2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: <a href="mailto:patin21help@uspto.gov">patin21help@uspto.gov</a> or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: <a href="mailto:patin3help@uspto.gov">patin3help@uspto.gov</a> or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 3.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

#### **Checker Version 3.0**

The Checker Version 3.0 application is a state-of the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address: http://www.uspto.gov/web/offices/pac/checker



#### FEB 14 2001

1652

#### TECH CENTER 1600/2500

DATE: 02/05/2001 RAW SEQUENCE LISTING PATENT APPLICATION: US/09/262,126A TIME: 14:10:04

Input Set : A:\GC396-2 seq.txt Does Not Comply Output Set: N:\CRF3\02022001\1262126A.raw Corrected Diskette Needed 3 <110> APPLICANT: Miller, Brian S. pr1-2,5 Shetty, Jayarama K. 6 <120> TITLE OF INVENTION: Modified Forms of Pullulanase 9 <130> FILE REFERENCE: GC396-2 11 <140> CURRENT APPLICATION NUMBER: 09/262,126A 12 <141> CURRENT FILING DATE: 1999-03-03 14 <160> NUMBER OF SEQ ID NOS: 9 16 <170> SOFTWARE: FastSEQ for Windows Version 3.0 18 <210> SEQ ID NO: 1 19 <211> LENGTH: 2794 19 <211> LENGTH: 2794
20 <212> TYPE: DNA
21 <213> ORGANISM: B. deramificans
23 <221> NAME/KEY: misc\_feature
24 <222> LOCATION: (1)...(2794)
25 <223> OTHER INFORMATION: n = A, T, C, or G
27 <400> SEQUENCE: 1
28 gatgggaaca cgacaacgat cattgtccac tatttttgcc ctgctggtga ttatcaacct
29 tggagtctat ggatgtggcc aaaagacgga ggtggggct aatacgatt caatcaaccg
30 gctgactctt ttggagctgt tgcaagtgct gatattccag gaaacccaag tcaggtagga
31 attatcgttc gcactcaaga ttggaccaaa gatgtgagcc ctgaccgcta catagattta
240
32 agcaaaggaa atgaggttg gcttgtagaa ggaaacagcc aaattttta taatgaaaaa
300
31 gatgctgagg atgcagctaa acccgctgta agcaacgctt atttagatgc ttcaaaccag
360 shown 34 gtgctggtta aacttagcca gccgttaact cttggggaag gnnnaagcgg ctttacggtt 420 35 catgacgaca cagcaaataa ggatatteea gtgacatetg tgaaggatge aagtettggt 480 36 caagatgtaa ccgctgtttt ggcaggtacc ttccaacata tttttggagg ttccgattgg 540 gcacctgata atcacagtac tttattaaaa aaggtgacta acaatctcta tcaattctca 600 qqaqatcttc ctgaaqqaaa ctaccaatat aaagtggctt taaatgatag ctggaataat 660 cogagitace catetgacaa cattaatita acagiceetg coggoggige acaegicaet 720 780 40 tittegtata ticegiceae teatgeagie taigaeaeaa tiaataatee taatgeggat 840 ttacaagtag aaagcggggt taaaacggat ctcgtgacgg ttactctagg ggaagatcca 42 gatgtgagcc atactetgte catteaaaca gatggetate aggeaaagca ggtgataeet 900 eqtaatqtqc ttaattcatc acagtactac tattcaggag atgatcttgg gaatacctat 960 1020 acacagaaag caacaacctt taaagtctgg gcaccaactt ctactcaagt aaatgttctt 1080 45 ctttatgaca gtgcaacggg ttctgtaaca aaaatcgtac ctatgacggc atcgggccat 1140 46 ggtgtgtggg aagcaacggt taatcaaaac cttgaaaatt ggtattacat gtatgaggta 1200 acaggecaag getetaeceg aacggetgtt gateettatg caactgegat tgeaccaaat 47 1260 ggaacgagag gcatgattgt ggacctggct aaaacagatc ctgctggctg gaacagtgat 49 aaacatatta cgccaaagaa tatagaagat gaggtcatct atgaaatgga tgtccgtgac 1320 ttttccattg accetaatte gggtatgaaa aataaaggga agtatttgge tettacagaa 1380 aaaggaacaa agggccctga caacgtaaag acggggatag attccttaaa acaacttggg 1440 1500 attactcatg ttcagcttat gcctgttttc gcatctaaca gtgtcgatga aactgatcca 53 acccaagata attggggtta tgaccctcgc aactatgatg ttcctgaagg gcagtatgct 1560 acaaatgcga atggtaatgc tcgtataaaa gagtttaagg aaatggttct ttcactccat 1620 1680 55 cgtgaacaca ttggggttaa catggatgtt gtctataatc atacctttgc cacgcaaatc 56 totgacttog ataaaattgt accagaatat tattacogta cgatgatcca ggtaattata 1740 1800 ccaacggatc aggtactgga aatgaaattg cangengaaa ggccaatggt tcaaaaattt

attattgatt cccttaagta ttgggtcaat gagtatcata ttgacggctt ccgttttgac

1860

1920

1980

2040

2100

2160

2220

2280

2340

2400

2460

2580

2640 2700

2760

2794

RAW SEQUENCE LISTING DATE: 02/05/2001 PATENT APPLICATION: US/09/262,126A TIME: 14:10:04

Input Set : A:\GC396-2 seq.txt

Output Set: N:\CRF3\02022001\I262126A.raw

```
59 ttaatggcgc tgcttggaaa agacacgatg tccaaagctg cctcggagct tcatgctatt
60 aatccaggaa ttgcacttta cggtgagcca tggacgggtg gaacctctgc actgccagat
61 gatcagette tgacaaaagg agetcaaaaa ggeatgggag tageggtgtt taatgacaat
62 ttacgaaacg cgttggacgg caatgtettt gattetteeg etcaaggttt tgcgacaggt
    gcaacaggct taactgatgc aattaagaat ggcgttgagg ggagtattaa tgactttacc
    tottcaccag gtgagacaat taactatgtc acaagtcatg ataactacac cotttgggac
    anaatageee taageaatee taatgattee gaageggate ggattaaaat ggatgaaete
    gcacaagcag ttgttatgac ctcacaaggc gttccattca tgcaaggcgg ggaagaaatg
    cttcgtanaa aaggcggcaa cgacaatagt tataatgcag gcgatgcggt caatgagttt
   gattggagca ggaaagctca atatccagat gttttcaact attatagcgg gctaatccac
69 cttcgtcttg atcacccage cttccgcatg acgacagcta atgaaatcaa tagccacctc
70 caattootaa atagtooaga gaacacagtg gootatgaat taactgatca tgttaataaa
71 gacaaatggg gaaatatcat tgttgtttat aacccaaata aaactgtagc aaccatcaat
  ttgccgagcg ggaaatgggc aatcaatgct acgagcggta aggtaggaga atccaccctt
  ggtcaagcag agggaagtgt ccaagtacca ggtatatcta tgatgatcct tcatcaagag
74 gtaagcccag accacggtaa aaagtaatag aaaa
76 <210> SEQ ID NO: 2
77 <211> LENGTH: 958
78 <212> TYPE: PRT
79 <213> ORGANISM: B. deramificans
81 <220> FEATURE:
82 <221> NAME/KEY: VARIANT
83 <222> LOCATION: (1)...(956)
84 <223> OTHER INFORMATION: Xaa = Any Amino Acid
86 <220> FEATURE:
87 <221> NAME/KEY: VARIANT
88 <222> LOCATION: (957)...(957)
89' <223> OTHER INFORMATION Xaa = gap of indeterminate length
91 <400> SEQUENCE: 2
  Met Ala Lys Lys Leu Ile Tyr Val Cys Leu Ser Val Cys Leu Val Leu
93
94
    Thr Trp Ala Phe Asn Val Lys Gly Gln Ser Ala His Ala Asp Gly Asn
    Thr Thr Thr Ile Ile Val His Tyr Phe Cys Pro Ala Gly Asp Tyr Gln
    Pro Trp Ser Leu Trp Met Trp Pro Lys Asp Gly Gly Gly Ala Glu Tyr
98
99
                            55
    Asp Phe Asn Gln Pro Ala Asp Ser Phe Gly Ala Val Ala Ser Ala Asp
100
101
                         70
    Ile Pro Gly Asn Pro Ser Gln Val Gly Ile Ile Val Arg Thr Gln Asp
103
                                         90
     Trp Thr Lys Asp Val Ser Ala Asp Arg Tyr Ile Asp Leu Ser Lys Gly
104
                                                         110
105
                                    105
                 100
     Asn Glu Val Trp Leu Val Glu Gly Asn Ser Gln Ile Phe Tyr Asn Glu
106
1.07
                                 120
     Lys Asp Ala Glu Asp Ala Ala Lys Pro Ala Val Ser Asn Ala Tyr Leu
108
1.09
                             135
110
     Asp Ala Ser Asn Gln Val Leu Val Lys Leu Ser Gln Pro Leu Thr Leu
111
                         150
                                             155
```

Xaa car orly represent a single amino aid. mialet Per 1.822(d)(5)(e) of hew Sequera Rules,
"A sequera with a
gop or gaps shall be
presented as a plushity
be sequeras, with separate sequence idestificie, with the number of separate sequences being equal in runter to the runter of continuous strings of Servera data."

Servera data."

Server only one amino and acid follows gap, and at least four amino outs are needed for a sequence, eliminate last amino acid. 2/5/01 RAW SEQUENCE LISTING DATE: 02/05/2001 PATENT APPLICATION: US/09/262,126A TIME: 14:10:04

.Input Set : A:\GC396-2 seq.txt

Output Set: N:\CRF3\02022001\I262126A.raw

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TE ... . ENTER 1600/2000

W/															_		
	112 113	-		-		<b>Ser</b> 165	_				170	-	_			175	-
	114 115				180	Thr				185					190		
	116 117	Thr	Ala	Val 195	Leu	Ala	Gly	Thr	Phe 200	Gln	His	Ile	Phe	Gly 205	Gly	Ser	Asp
	118 119	•	210		-	Asn		215				-	220				
	120 121	225				Ser	230					235					240
	122 123					Asp 245					250					255	
	124 125				260	Pro				265					270		
	126 127			275		Ala			280					285			
	128 129		290			Ser		295					300				
	130 131	305				Asp	310					315					320
	132 133	_			-	Gln 325				-	330					335	
	134 135				340	Gly				345					350		
	136 137			355		Val	_		360					365			
	138 139		370			Ala		375					380				
	140 141	385		-		Gly	390	-				395					400
	142		-	-	-	Met 405	-				410		_			415	
	144			-	420	Tyr Leu				425				_	430		
	146 147			435		Pro			440					445			
	148 149		450					455					460				
	150 151	465				Phe	470					475					480
	152 153					Ala 485					490					495	
	154 155				500	Ile				505					510		
	156 157			515		Val			520					525			
	158 159		530			Trp		535					540				
	160	дТΆ	GTD	Tyr	AIG	Thr	ASD	Ala	ASI	отА	ASII	нта	arg	116	rys	oru	rne

RAW SEQUENCE LISTING DATE: 02/05/2001 PATENT APPLICATION: US/09/262,126A TIME: 14:10:04

Input Set : A:\GC396-2 seq.txt
Output Set: N:\CRF3\02022001\I262126A.raw

	161	545					550					555					560
	162	Lys	Glu	Met	Val	Leu	Ser	Leu	His	Arq	Glu	His	Ile	Gly	Val	Asn	Met
	163	*				565				_	570			•		575	
	164	Asp	Val	Val	Tvr	Asn	His	Thr	Phe	Ala	Thr	Gln	Ile	Ser	Asp	Phe	Asp
	165	* I-			580					585					590		-
	166	Taze	712	Va l		Glu	Tur	ጥረኮ	TUY		Thr	Met	Tle	Gln		Tle	Tle
	167	Llys	110	595	110	OLU	+ 7 +	111	600	9	1		140	605	141	++0	
ଉ <b>(</b> ->	168	D	ml		C1	1101	T 0	C1		T ***	Lou	V	71.		71	Dro	Mot
		PIO		АБР	GIII	var	Leu	615	Met	гуѕ	Leu	лаа	620	GIU	ALY	FIU	Mec
	169	17- 1	610	T	Dha	т1 -	71.		0	T au	T	Ш		17-1	Tan	C1	M
	170		GIII	гля	Pne	rre		ASP	ser	Leu	Lys	_	тrЪ	vai	ASII	Giu	640
	171	625	<b>-</b> 1			-1	630	-1		-		635	-		<b>a</b> 1	<b>.</b>	
	172	HIS	тте	Asp	GIY		Arg	Pne	ASP	Leu	Met	Ала	ren	Leu	GIÀ		Asp
	173			_	_	645		_	_,	_	650		~ 1	_	_	655	
	174	Thr	Met	Ser	-	Ala	Ala	Ser	GLu		His	Ala	lle	Asn		GLY	He
	175				660					665			_		670		_
	176	Ala	Leu		Gly	Glu	Pro	Trp		Gly	Gly	Thr	Ser		Leu	Pro	Asp
	177			675					680					685			
	178	Asp		Leu	Leu	Thr	Lys	_	Ala	Gln	Lys	Gly		Gly	Va J.	Ala	Val
	179		690					695					700				
	180	Phe	Asn	Asp	Asn	Leu	Arg	Asn	Ala	Leu	Asp	Gly	Asn	Val	Phe	Asp	Ser
	181	705					710					715					720
	182	Ser	Ala	Gln	Gly	Phe	Ala	Thr	Gly	Ala	Thr	Gly	Leu	Thr	Asp	Ala	Ile
	183					725					730					735	
	184	Lys	Asn	Gly	Val	G1u	Gly	Ser	Ile	Asn	Asp	Phe	Thr	Ser	Ser	Pro	Gly
	185				740					745					750		
	186	Glu	Thr	Ile	Asn	Tyr	Val	Thr	Ser	His	Asp	Asn	Tyr	Thr	Leu	$\operatorname{Trp}$	Asp
	187			755					760					765			
	188	Lys	Ile	Ala	Leu	Ser	Asn	Pro	Asn	Asp	Ser	Glu	Ala	Asp	Arg	Ile	Lys
	189		770					775					780				
	190	Met	Asp	Glu	Leu	Ala	Gln	Ala	Val	Val	Met	Thr	Ser	Gln	Gly	Val	Pro
OV	191	785					790					795					800
	192	Phe	Met	Gln	Gly	Gly	Glu	G1u	Met	Leu	Arg	Xaa	Lys	Gly	Gly	Asn	Asp
•	193				-	805					810		_	_	_	815	_
	194	Asn	Ser	Tyr	Asn	Ala	Gly	Asp	Ala	Val	Asn	Glu	Phe	Asp	Trp	Ser	Arg
	195			•	820		-	-		825				•	830		_
	196	Lvs	Ala	Gln	Tvr	Pro	Asp	Val	Phe	Asn	Tyr	Tyr	Ser	Gly	Leu	Ile	His
	197	•		835	•		•		840		-	•		845			
	198	Leu	Ara		Asp	His	Pro	Ala		Arq	Met	Thr	Thr	Ala	Asn	Glu	Ile
	199		850					855					860				
	200	Asn	Ser	His	Leu	Gln	Phe	Leu	Asn	Ser	Pro	Glu	Asn	Thr	Val	Ala	Tvr
	201	865				•	870					875					880
	202		Leu	Thr	Asp	His		Asn	Lvs	Asp	Lys	Trp	Glv	Asn	Ile	Ile	Val
	203					885			-1		890	<b>r</b>	2			895	
	204	Va 1	ጥህዮ	Asn	Pro		Lvs	Thr	Va 1	Ala	Thr	Tle	Asn	Leu	Pro		Glv
	205	• 44.	+ 1 +	. 1 11	900	. 1. 4.1	_, _	T 111	,	905				<b></b> u	910		J-1
	206	1.ve	ጥተጥ	Δ1 a		Acn	Δla	Thr	Ser		Lys	Val	Glv	Glu		Thr	Leu
	207	пуэ	тър	915	116	ABII	ита	LILL	920	O.L.y	7	ruı	Jry	925	JUL	T 11T	<u> </u>
	208	Gl v	G1 n		Glu	C1.,	Sor	Val		V=1	Pro	Gly	Tlo		Mot	Met	Tle
	208	GTÅ	930	нта	GIU	GLY	Ser	935	0111	Val	1.10	Эту	940	Der	1.10.0	1-1C C	116
	203		<i>5</i> 3 0					223					940				

DATE: 02/05/2001 RAW SEQUENCE LISTING PATENT APPLICATION: US/09/262,126A TIME: 14:10:04

Input Set : A:\GC396-2 seg.txt

RECEIVED FEB 14 2001

TECH CENTER 1600/2800

W--> 210 Leu His Gln Glu Val Ser Pro Asp His Gly Lys Lys Xaa Lys 211 945 950 955 213 <210> SEO ID NO. 3 214 <211> LENGTH: 718 215 <2125 TYPE: PRT 216 <213> ORGANISM: B. subtilis 218 <400> SEQUENCE: 3 Met Val Ser Ile Arg Arg Ser Phe Glu Ala Tyr Val Asp Asp Met Asn Ile Ile Thr Val Leu Ile Pro Ala Glu Gln Lys Glu Ile Met Thr Pro Pro Phe Arg Leu Glu Thr Glu Ile Thr Asp Phe Pro Leu Ala Val Arg Glu Glu Tyr Ser Leu Glu Ala Lys Tyr Lys Tyr Val Cys Val Ser Asp 5.5 His Pro Val Thr Phe Gly Lys Ile His Cys Val Arg Ala Ser Ser Gly His Lys Thr Asp Leu Gln Ile Gly Ala Val Ile Arg Thr Ala Ala Phe Asp Asp Glu Phe Tyr Tyr Asp Gly Glu Leu Gly Ala Val Tyr Thr Ala Asp His Thr Val Phe Lys Val Trp Ala Pro Ala Ala Thr Ser Ala Ala Val Lys Leu Ser His Pro Asn Lys Ser Gly Arg Thr Phe Gln Met Thr Arg Leu Glu Lys Gly Val Tyr Ala Val Thr Val Thr Gly Asp Leu His Gly Tyr Glu Tyr Leu Phe Cys Ile Cys Asn Asn Ser Glu Trp Met Glu Thr Val Asp Gln Tyr Ala Lys Ala Val Thr Val Asn Gly Glu Lys Gly Val Val Leu Arg Pro Asp Gln Met Lys Trp Thr Ala Pro Leu Lys Pro Phe Ser His Pro Val Asp Ala Val Ile Tyr Glu Thr His Leu Arg Asp Phe Ser Ile His Glu Asn Ser Gly Met Ile Asn Lys Gly Lys Tyr Leu Ala Leu Thr Glu Thr Asp Thr Gln Thr Ala Asn Gly Ser Ser Ser Gly Leu Ala Tyr Val Lys Glu Leu Gly Val Thr His Val Glu Leu Leu Pro Val Asn Asp Phe Ala Gly Val Asp Glu Glu Lys Pro Leu Asp Ala Tyr Asn Trp Gly Tyr Asn Pro Leu His Phe Phe Ala Pro Glu Gly Ser Tyr Ala Ser Asn Pro His Asp Pro Gln Thr Arg Lys Thr Glu Leu Lys Gln Met Ile Asn Thr Leu His Gln His Gly Leu Arg Val Ile Leu Asp Val 

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/262,126A

DATE: 02/05/2001 TIME: 14:10:05

Input Set : A:\GC396-2 seq.txt

Output Set: N:\CRF3\02022001\1262126A.raw

 $L\!:\!34$  M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:1  $L\colon\!34\ \text{M}\colon\!340\ \text{W}\colon\ (46)$  "n" or "Xaa" used: Feature required, for SEQ ID#:1 L:57~M:258~W: Mandatory Feature missing, <220> not found for SEQ ID#:1 M:340 Repeated in SeqNo=1 L:67 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:1

L:112 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:2 L:168 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:2 L:192 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:2 L:210 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:2